

BEST AVAILABLE COPY

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
29 November 2001 (29.11.2001)

PCT

(10) International Publication Number
WO 01/90434 A2

(51) International Patent Classification⁷: C23C
(21) International Application Number: PCT/US01/14509
(22) International Filing Date: 4 May 2001 (04.05.2001)
(25) Filing Language: English
(26) Publication Language: English
(30) Priority Data:
60/206,663 24 May 2000 (24.05.2000) US
(71) Applicant (for all designated States except US): SEMI-TOOL, INC. [US/US]; 655 West Reserve Drive, Kalispell, MT 59901 (US).
(72) Inventors; and
(75) Inventors/Applicants (for US only): WILSON, Gregory,

J. [US/US]; 427 6th Avenue East, Kalispell, MT 59901 (US). MCHUGH, Paul, R. [US/US]; 1912 Darlington Drive, Kalispell, MT 59901 (US). WEAVER, Robert, A. [US/US]; 834 Highland Drive, Whitefish, MT 59937 (US). RITZDORF, Thomas, L. [US/US]; 3130 Parkwood Lane, Bigfork, MT 59911 (US).

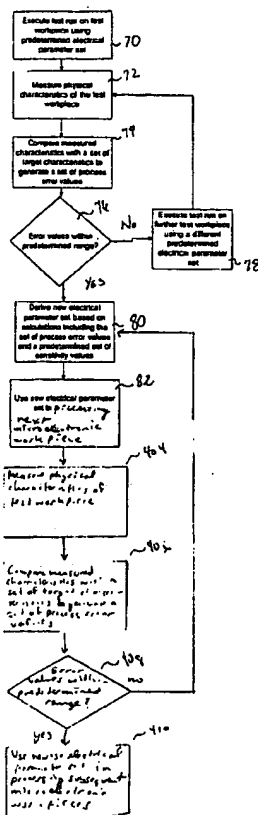
(74) Agents: LAWRENZ, Steven, D. et al.; Perkins Coie LLP, P.O. Box 1247, Seattle, WA 98111-1247 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian

[Continued on next page]

(54) Title: TUNING ELECTRODES USED IN A REACTOR FOR ELECTROCHEMICALLY PROCESSING A MICROELECTRONIC WORKPIECE



(57) Abstract: A facility for selecting and refining electrical parameters for processing a micro-electronic workpiece in a processing chamber is described. The facility initially configures the electrical parameters in accordance with either a numerical of the processing chamber or experimental data derived from operating the actual processing chamber. After a workpiece is processed with the initial parameter configuration, the results are measured and a sensitivity matrix based upon the numerical model of the processing chamber is used to select new parameters that correct for any deficiencies measured in the processing of the first workpiece. These parameters are then used in processing a second workpiece, which may be similarly measured, and the results used to further refine the parameters.

WO 01/90434 A2



patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

- *without international search report and to be republished upon receipt of that report*

TUNING ELECTRODES USED IN A REACTOR FOR
ELECTROCHEMICALLY PROCESSING A MICROELECTRONIC
WORKPIECE

FIELD OF THE INVENTION

5 The present invention is directed to the field of automatic process control, and, more particularly, to the field of controlling a material deposition process.

BACKGROUND OF THE INVENTION

 The fabrication of microelectronic components from a microelectronic
10 workpiece, such as a semiconductor wafer substrate, polymer substrate, etc., involves a substantial number of processes. For purposes of the present application, a microelectronic workpiece is defined to include a workpiece formed from a substrate upon which microelectronic circuits or components, data storage elements or layers, and/or micro-mechanical elements are formed. There are a number of
15 different processing operations performed on the microelectronic workpiece to fabricate the microelectronic component(s). Such operations include, for example, material deposition, patterning, doping, chemical mechanical polishing, electropolishing, and heat treatment.

 Material deposition processing involves depositing or otherwise
20 forming thin layers of material on the surface of the microelectronic workpiece. Patterning provides selective deposition of a thin layer and/or removal of selected portions of these added layers. Doping of the semiconductor wafer, or similar microelectronic workpiece, is the process of adding impurities known as "dopants" to selected portions of the wafer to alter the electrical characteristics of the substrate
25 material. Heat treatment of the microelectronic workpiece involves heating and/or cooling the workpiece to achieve specific process results. Chemical mechanical polishing involves the removal of material through a combined chemical/mechanical

process while electropolishing involves the removal of material from a workpiece surface using electrochemical reactions.

Numerous processing devices, known as processing "tools," have been developed to implement one or more of the foregoing processing operations. These tools take on different configurations depending on the type of workpiece used in the fabrication process and the process or processes executed by the tool. One tool configuration, known as the LT-210C™ processing tool and available from Semitool, Inc., of Kalispell, Montana, includes a plurality of microelectronic workpiece processing stations that are serviced by one or more workpiece transfer robots. Several of the workpiece processing stations utilize a workpiece holder and a process bowl or container for implementing wet processing operations. Such wet processing operations include electroplating, etching, cleaning, electroless deposition, electropolishing, etc. In connection with the present invention, it is the electrochemical processing stations used in the LT-210C™ that are noteworthy. Such electrochemical processing stations perform the foregoing electroplating, electropolishing, anodization, etc., of the microelectronic workpiece. It will be recognized that the electrochemical processing system set forth herein is readily adapted to implement each of the foregoing electrochemical processes.

In accordance with one configuration of the LT-210C™ tool, the electrochemical processing stations include a workpiece holder and a process container that are disposed proximate one another. The workpiece holder and process container are operated to bring the microelectronic workpiece held by the workpiece holder into contact with an electrochemical processing fluid disposed in the process container. When the microelectronic workpiece is positioned in this manner, the workpiece holder and process container form a processing chamber that may be open, enclosed, or substantially enclosed.

Electroplating and other electrochemical processes have become important in the production of semiconductor integrated circuits and other microelectronic devices from microelectronic workpieces. For example, electroplating is often used in the formation of one or more metal layers on the workpiece. These metal layers are often used to electrically interconnect the various

devices of the integrated circuit. Further, the structures formed from the metal layers may constitute microelectronic devices such as read/write heads, etc.

Electroplated metals typically include copper, nickel, gold, platinum, solder, nickel-iron, etc. Electroplating is generally effected by initial formation of a seed layer on the microelectronic workpiece in the form of a very thin layer of metal, whereby the surface of the microelectronic workpiece is rendered electrically conductive. This electro-conductivity permits subsequent formation of a blanket or patterned layer of the desired metal by electroplating. Subsequent processing, such as chemical mechanical planarization, may be used to remove unwanted portions of the patterned or metal blanket layer formed during electroplating, resulting in the formation of the desired metallized structure.

Electropolishing of metals at the surface of a workpiece involves the removal of at least some of the metal using an electrochemical process. The electrochemical process is effectively the reverse of the electroplating reaction and is often carried out using the same or similar reactors as electroplating.

Anodization typically involves oxidizing a thin-film layer at the surface of the workpiece. For example, it may be desirable to selectively oxidize certain portions of a metal layer, such as a Cu layer, to facilitate subsequent removal of the selected portions in a solution that etches the oxidized material faster than the non-oxidized material. Further, anodization may be used to deposit certain materials, such as perovskite materials, onto the surface of the workpiece.

As the size of various microelectronic circuits and components decreases, there is a corresponding decrease in the manufacturing tolerances that must be met by the manufacturing tools. In connection with the present invention as described below, electrochemical processes must uniformly process the surface of a given microelectronic workpiece. Further, the electrochemical process must meet workpiece-to-workpiece uniformity requirements.

To meet such uniformity requirements, an array of multiple electrodes may be used as the anode or cathode for a given electrochemical process. In each of these electrode arrays, a plurality of electrodes are arranged in a generally optimized pattern corresponding to the shape of the particular microelectronic workpiece that

is to be processed. Each of the electrodes is connected to an electrical power supply that provides the electrical power used to execute the electrochemical processing operations. Preferably, at least some of the electrodes are connected to different electrical nodes so that the electrical power provided to them by the power supply
5 may be provided independent of the electrical power provided to other electrodes in the array.

Electrode arrays having a plurality of electrodes facilitate localized control of the electrical parameters used to electrochemically process the microelectronic workpiece. This localized control of the electrical parameters can
10 be used to provide greater uniformity of the electrochemical processing across the surface of the microelectronic workpiece when compared to single electrode systems. However, determining the electrical parameters for each of the electrodes in the array to achieve the desired process uniformity can be problematic. Typically, the electrical parameter (*i.e.*, electrical current, voltage, etc.) for a given
15 electrode in a given electrochemical process is determined experimentally using a manual trial and error approach. Using such a manual trial and error approach, however, can be very time-consuming. Further, the electrical parameters do not easily translate to other electrochemical processes. For example, a given set of electrical parameters used to electroplate a metal to a thickness X onto the surface
20 of a microelectronic workpiece cannot easily be used to derive the electrical parameters used to electroplate a metal to a thickness Y. Still further, the electrical parameters used to electroplate a desired film thickness X of a given metal (*e.g.*, copper) are generally not suitable for use in electroplating another metal (*e.g.*, platinum). Similar deficiencies in this trial and error approach are associated
25 with other types of electrochemical processes (*i.e.*, anodization, electropolishing, etc.). Also, this manual trial and error approach often must be repeated in several common circumstances, such as when the thickness or level of uniformity of the seed layer changes, when the target plating thickness or profile changes, or when the plating rate changes.

30 In view of the foregoing, a system for electrochemically processing a microelectronic workpiece that can be used to readily identify electrical parameters

that cause a multiple electrode array to achieve a high level of uniformity for a wide range of electrochemical processing variables (e.g., seed layer thicknesses, seed layer types, electroplating materials, etc.) would have significant utility.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Figure 1 is a process schematic diagram showing inputs and outputs of the optimizer.

 Figure 2 is a process schematic diagram showing a branch correction system utilized by some embodiments of the optimizer.

 Figure 3 is schematic block diagram of an electrochemical processing
10 system constructed in accordance with one embodiment of the optimizer.

 Figure 4 is a flowchart illustrating one manner in which the optimizer of Figure 3 can use a predetermined set of sensitivity values to generate a more accurate electrical parameter set for use in meeting targeted physical characteristics in the processing of a microelectronic workpiece.

15 Figure 5 is a graph of the change in electroplated film thickness per change in current-time as a function of radial position on a microelectronic workpiece for each of a plurality of individually controlled anodes, such as those shown at A1 – A4 of Figure 1.

 Figure 6 is a spreadsheet diagram showing the new current outputs
20 calculated from the inputs for the first optimization run.

 Figure 7 is a spreadsheet diagram showing the new current outputs calculated from the inputs for the second optimization run.

DETAILED DESCRIPTION

 A facility for automatically selecting and refining electrical parameters
25 for processing a microelectronic workpiece ("the optimizer") is disclosed. In some embodiments, the optimizer adjusts the anode currents for a multiple anode electroplating chamber, such as the Semitool CFD-2 chamber, in order to achieve a specified thickness profile (*i.e.*, flat, convex, concave, etc.). The optimizer adjusts

anode currents to compensate for changes in the incoming seed layer (feed forward), and to correct for prior wafer non-uniformities (feedback).

The facility typically operates an electroplating chamber containing a principal fluid flow chamber, and a plurality of electrodes disposed in the principal fluid flow chamber. The electroplating chamber typically further contains a workpiece holder positioned to hold at least one surface of the microelectronic workpiece in contact with an electrochemical processing fluid in the principal fluid flow chamber, at least during electrochemical processing of the microelectronic workpiece. One or more electrical contacts are configured to contact the at least one surface of the microelectronic workpiece, and an electrical power supply is connected to the one or more electrical contacts and to the plurality of electrodes. At least two of the plurality of electrodes are independently connected to the electrical power supply to facilitate independent supply of power thereto. The apparatus also includes a control system that is connected to the electrical power supply to control at least one electrical power parameter respectively associated with each of the independently connected electrodes. The control system sets the at least one electrical power parameter for a given one of the independently connected electrodes based on one or more user input parameters and a plurality of predetermined sensitivity values; wherein the sensitivity values correspond to process perturbations resulting from perturbations of the electrical power parameter for the given one of the independently connected electrodes.

For example, although the present invention is described in the context of electrochemical processing of the microelectronic workpiece, the teachings herein can also be extended to other types of microelectronic workpiece processing. In effect, the teachings herein can be extended to other microelectronic workpiece processing systems that have individually controlled processing elements that are responsive to control parameters and that have interdependent effects on a physical characteristic of the microelectronic workpiece that is processed using the elements. Such systems may employ sensitivity tables/matrices as set forth herein and use them in calculations with one or more input parameters sets to arrive at

control parameter values that accurately result in the targeted physical characteristic of the microelectronic workpiece.

Figure 1 is a process schematic diagram showing inputs and outputs of the optimizer. Figure 1 shows that the optimizer 140 uses up to three sources of input: baseline currents 110, seed change 120, and thickness error 130. The baseline currents 110 are the anode currents used to plate the previous wafer or those utilized in a mathematical model of the chamber. The seed change 120 is the difference between the thickness of the seed layer of the incoming wafer 121 and the thickness of the seed layer of either the baseline incorporated in the mathematical model or the previous wafer actually plated 122. The seed change input 120 is said to be a source of feed-forward control in the optimizer, in that it incorporates information about the upcoming plating cycle, as it reflects the measurement the wafer to be plated in the upcoming plating cycle. Thickness error 130 is the difference in thickness between either the previous plated wafer 132 or the baseline thickness incorporated in the mathematical model and the target thickness profile 131 specified for the upcoming plating cycle. The thickness error 130 is said to be a source of feedback control, because it incorporates information from an earlier plating cycle, that is, the thickness of the wafer plated in the previous plating cycle.

Figure 1 further shows that the optimizer outputs new currents 150 for the upcoming plating cycle in amp-minutes units. The new currents output is combined with a current wave form 161 to convert its units from amp-minutes to amps 160. The new currents in amps 160 is used by the plating process to plate a wafer in the next plating cycle. The wafer so plated is then subjected to post-plating metrology to measure its plated thickness 132.

While the optimizer is shown as receiving inputs and producing outputs at various points in the processing of these values, it will be understood by those in the art that the optimizer may be variously defined to include or exclude aspects of such processing. For example, while Figure 1 shows the generation of seed change from baseline wafer seed thickness and seed layer thickness outside the

optimizer, it is contemplated that such generation may alternatively be performed within the optimizer.

Figure 2 is a process schematic diagram showing a branch correction system utilized by some embodiments of the optimizer. The branched adjustment system utilizes two independently-engageable correction adjustments, a feedback adjustment (220, 240, 271) due to thickness errors and a feed forward adjustment (230, 240, 272) due to incoming seed layer thickness variation. When the anode currents produce an acceptable uniformity, the feedback loop may be disengaged from the transformation of baseline currents 210 to new currents 250. The feed forward compensation may be disengaged in situations where the seed layer variations are not expected to affect thickness uniformity. For example, after the first wafer of a similar batch is corrected for, the feed-forward compensation may be disengaged and the corrections may be applied to each sequential wafer in the batch.

During chamber setup, chamber-to-chamber current adjustments are made that compensate for chamber-to-chamber manufacturing tolerances, setup, power supply, etc. First, a recipe is defined that contains nominal current settings specifically designed to standardize the chamber setup is used. The seed layer of a wafer is measured and then processed using the standard recipe. The outgoing plated wafer is then measured, providing the optimizer with the necessary data to compute chamber specific corrections. The process iterates until the results are within some tolerance. This procedure is then repeated for each plating chamber. A comparison of the final currents between all chambers and the standard recipe currents then yields an offset table for each chamber.

During production runs, the seed layer of the incoming wafer is measured and the optimizer is used to calculate the correction for that seed layer relative to a set of baseline currents. The chamber specific correction is automatically applied to the process. The feedback loop may be omitted in this case if all wafers are not measured after plating. Consequently, when a wafer is being processed, the recipe will be adjusted for the seed layer correction and the chamber specific correction.

Figure 3 is schematic block diagram of an electrochemical processing system constructed in accordance with one embodiment of the optimizer. Figure 3 shows a reactor assembly 20 for electrochemically processing a microelectronic workpiece 25, such as a semiconductor wafer, that can be used in connection with the present invention. Generally stated, an embodiment of the reactor assembly 20 includes a reactor head 30 and a corresponding reactor base or container shown generally at 35. The reactor base 35 can be a bowl and cup assembly for containing a flow of an electrochemical processing solution. The reactor 20 of Figure 3 can be used to implement a variety of electrochemical processing operations such as electroplating, electropolishing, anodization, etc., as well as to implement a wide variety of other material deposition techniques. For purposes of the following discussion, aspects of the specific embodiment set forth herein will be described, without limitation, in the context of an electroplating process.

The reactor head 30 of the reactor assembly 20 can include a stationary assembly (not shown) and a rotor assembly (not shown). The rotor assembly may be configured to receive and carry an associated microelectronic workpiece 25, position the microelectronic workpiece in a process-side down orientation within reactor container 35, and to rotate or spin the workpiece. The reactor head 30 can also include one or more contacts 85 (shown schematically) that provide electroplating power to the surface of the microelectronic workpiece. In the illustrated embodiment, the contacts 85 are configured to contact a seed layer or other conductive material that is to be plated on the plating surface microelectronic workpiece 25. It will be recognized, however, that the contacts 85 can engage either the front side or the backside of the workpiece depending upon the appropriate conductive path between the contacts and the area that is to be plated. Suitable reactor heads 30 with contacts 85 are disclosed in U.S. Patent No. 6,080,291 and U.S. Application Nos. 09/386,803; 09/386,610; 09/386,197; 09/717,927; and 09/823,948, all of which are expressly incorporated herein in their entirety by reference.

The reactor head 30 can be carried by a lift/rotate apparatus that rotates the reactor head 30 from an upwardly-facing orientation in which it can

receive the microelectronic workpiece to a downwardly facing orientation in which the plating surface of the microelectronic workpiece can contact the electroplating solution in reactor base 35. The lift/rotate apparatus can bring the workpiece 25 into contact with the electroplating solution either coplanar or at a given angle. A robotic system, which can include an end effector, is typically employed for loading/unloading the microelectronic workpiece 25 on the head 30. It will be recognized that other reactor assembly configurations may be used with the inventive aspects of the disclosed reactor chamber, the foregoing being merely illustrative.

10 The reactor base 35 can include an outer overflow container 37 and an interior processing container 39. A flow of electroplating fluid flows into the processing container 39 through an inlet 42 (arrow *I*). The electroplating fluid flows through the interior of the processing container 39 and overflows a weir 44 at the top of processing container 39 (arrow *F*). The fluid overflowing the weir 44 then passes through an overflow container 37 and exits the reactor 20 through an outlet 46 (arrow *O*). The fluid exiting the outlet 46 may be directed to a recirculation system, chemical replenishment system, disposal system, etc.

 The reactor 30 also includes an electrode in the processing container 39 to contact the electrochemical processing fluid (*e.g.*, the electroplating fluid) as it flows through the reactor 30. In the embodiment of Figure 3, the reactor 30 includes an electrode assembly 50 having a base member 52 through which a plurality of fluid flow apertures 54 extend. The fluid flow apertures 54 assist in disbursing the electroplating fluid flow entering inlet 42 so that the flow of electroplating fluid at the surface of microelectronic workpiece 25 is less localized and has a desired radial distribution. The electrode assembly 50 also includes an electrode array 56 that can comprise a plurality of individual electrodes 58 supported by the base member 52. The electrode array 56 can have several configurations, including those in which electrodes are disposed at different distances from the microelectronic workpiece. The particular physical configuration that is utilized in a given reactor can depend on the particular type and shape of the microelectronic workpiece 25. In the illustrated embodiment, the microelectronic

workpiece 25 is a disk-shaped semiconductor wafer. Accordingly, the present inventors have found that the individual electrodes 58 may be formed as rings of different diameters and that they may be arranged concentrically in alignment with the center of microelectronic workpiece 25. It will be recognized, however, that
5 grid arrays or other electrode array configurations may also be employed without departing from the scope of the present invention. One suitable configuration of the reactor base 35 and electrode array 56 is disclosed in USSN 09/804,696, filed March 12, 2001 (Attorney Docket No. 29195.8119US), while another suitable configuration is disclosed in USSN 09/804,697, filed March 12, 2001 (Attorney
10 Docket No. 29195.8120US), both of which are hereby incorporated by reference.

When the reactor 20 electroplates at least one surface of microelectronic workpiece 25, the plating surface of the workpiece 25 functions as a cathode in the electrochemical reaction and the electrode array 56 functions as an anode. To this end, the plating surface of workpiece 25 is connected to a negative
15 potential terminal of a power supply 60 through contacts 85 and the individual electrodes 58 of the electrode array 56 are connected to positive potential terminals of the supply 60. In the illustrated embodiment, each of the individual electrodes 58 is connected to a discrete terminal of the supply 60 so that the supply 60 may individually set and/or alter one or more electrical parameters, such as the current
20 flow, associated with each of the individual electrodes 58. As such, each of the individual electrodes 58 of Figure 3 is an individually controllable electrode. It will be recognized, however, that one or more of the individual electrodes 58 of the electrode array 56 may be connected to a common node/terminal of the power supply 60. In such instances, the power supply 60 will alter the one or more
25 electrical parameters of the commonly connected electrodes 58 concurrently, as opposed to individually, thereby effectively making the commonly connected electrodes 58 a single, individually controllable electrode. As such, individually controllable electrodes can be physically distinct electrodes that are connected to discrete terminals of power supply 60 as well as physically distinct electrodes that
30 are commonly connected to a single discrete terminal of power supply 60. The

electrode array 56 preferably comprises at least two individually controllable electrodes.

The electrode array 56 and the power supply 60 facilitate localized control of the electrical parameters used to electrochemically process the microelectronic workpiece 25. This localized control of the electrical parameters can be used to enhance the uniformity of the electrochemical processing across the surface of the microelectronic workpiece when compared to a single electrode system. Unfortunately, determining the electrical parameters for each of the electrodes 58 in the array 56 to achieve the desired process uniformity can be difficult. The optimizer, however, simplifies and substantially automates the determination of the electrical parameters associated with each of the individually controllable electrodes. In particular, the optimizer determines a plurality of sensitivity values, either experimentally or through numerical simulation, and subsequently uses the sensitivity values to adjust the electrical parameters associated with each of the individually controllable electrodes. The sensitivity values may be placed in a table or may be in the form of a Jacobian matrix. This table/matrix holds information corresponding to process parameter changes (*i.e.*, thickness of the electroplated film) at various points on the workpiece 25 due to electrical parameter perturbations (*i.e.*, electrical current changes) to each of the individually controllable electrodes. This table/matrix is derived from data from a baseline workpiece plus data from separate runs with a perturbation of a controllable electrical parameter to each of the individually controllable electrode.

The optimizer typically executes in a control system 65 that is connected to the power supply 60 in order to supply current values for a plating cycle. The control system 65 can take a variety of forms, including general- or special-purpose computer systems, either integrated into the manufacturing tool containing the reaction chamber or separate from the manufacturing tool. The control system may be communicatively connected to the power supply 60, or may output current values that are in turn manually inputted to the power supply. Where the control system is connected to the power supply by a network, other computer systems and similar devices may intervene between the control system and the

power supply. In many embodiments, the control system contains such components as one or more processors, a primary memory for storing programs and data, a persistent memory for persistently storing programs and data, input/output devices, and a computer-readable medium drive, such as a CD-ROM drive or a DVD drive.

5 Once the values for the sensitivity table/matrix have been determined, the values may be stored in and used by control system 65 to control one or more of the electrical parameters that power supply 60 uses in connection with each of the individually controllable electrodes 58. Figure 4 is a flow diagram illustrating one manner in which the sensitivity table/matrix may be used to calculate an electrical
10 parameter (*i.e.*, current) for each of the individually controllable electrodes 58 that may be used to meet a target process parameter (*i.e.*, target thickness of the electroplated film).

 In the process of Figure 4, control system 65 utilizes two sets of input parameters along with the sensitivity table/matrix to calculate the required electrical
15 parameters. A first set of input parameters corresponds to the data derived from a test run of the process while using a known, predetermined set of electrical parameters, as shown at step 70. For example, a test run can be performed by subjecting a microelectronic workpiece 25 to an electroplating process in which the current provided to each of the individually controllable electrodes 58 is fixed at a
20 predetermined magnitude for a given period of time.

 After the test run is complete, the physical characteristics (*i.e.*, thickness of the electroplated film) of the test workpiece are measured, as at step 72, and compared against a second set of input parameters at step 74. In the illustrated embodiment of the method, the second set of input parameters corresponds to the
25 target physical characteristics of the microelectronic workpiece that are to be ultimately achieved by the process (*i.e.*, the thickness of the electroplated film). Notably, the target physical characteristics can either be uniform over the surface of the microelectronic workpiece 25 or vary over the surface. For example, in the illustrated embodiment, the thickness of an electroplated film on the surface of the
30 microelectronic workpiece 25 can be used as the target physical characteristic, and the user may expressly specify the target thicknesses at various radial distances from

the center of the workpiece, a grid relative to the workpiece, or other reference systems relative to fiducials on the workpiece.

The first and second set of input parameters are used at step 74 to generate a set of process error values. To ensure the integrity of the data obtained during the test run, the process error values may be checked at step 76 to make sure that the values fall within a predetermined range, tolerance, etc. If the process error values do not pass this test, a further test run on a further test workpiece may be executed using a different predetermined electrical parameter set, as at step 78, and the method begins again. If the process error values satisfy the test at step 76, the control system 65 derives a new electrical parameter set based on calculations including the set of process error values and the values of the sensitivity table/matrix, as at step 80. Once the new electrical parameter set is derived, the control system 65 directs power supply 60 to use the derived electrical parameters in processing the next microelectronic workpiece, as at step 82. Then, in step 404, the optimizer measures physical characteristics of the test workpiece in a manner similar to step 72. In step 406, the optimizer compares the characteristics measured in step 404 with a set of target characteristics to generate a set of process error values. The set of target characteristics may be the same set of target characteristics as used in step 74, or may be a different set of target characteristics. In step 408, if the error values generated in step 406 are within a predetermined range, then the optimizer continues in step 410, else the facility continues in 80. In step 80, the optimizer derives a new electrical parameter set. In step 410, the optimizer uses the newest electrical parameter derived in step 80 in processing subsequent microelectronic workpieces.

With reference again to Figure 3, the first and second set of input parameters may be provided to the control system 65 by a user interface 84 and/or a metrics tool 86. The user interface 84 can include a keyboard, a touch-sensitive screen, a voice recognition system, and/or other input devices. The metrics tool 86 may be an automated tool that is used to measure the physical characteristics of the test workpiece after the test run, such as a metrology station. When both a user interface 84 and a metrics tool 86 are employed, the user interface 84 may be used

to input the target physical characteristics that are to be achieved by the process while metrics tool 86 may be used to directly communicate the measured physical characteristics of the test workpiece to the control system 65. In the absence of a metrics tool that can communicate with control system 65, the measured physical characteristics of the test workpiece can be provided to control system 65 through the user interface 84, or by removable data storage media, such as a floppy disk. It will be recognized that the foregoing are only examples of suitable data communications devices and that other data communications devices may be used to provide the first and second set of input parameters to control system 65.

10 The optimizer can further be understood with reference to a specific embodiment in which the electrochemical process is electroplating, the thickness of the electroplated film is the target physical parameter, and the current provided to each of the individually controlled electrodes 58 is the electrical parameter that is to be controlled to achieve the target film thickness. In accordance with this specific
15 embodiment, a Jacobian sensitivity matrix is first derived from experimental or numerically simulated data. Figure 5 is a graph of the Jacobian sensitivity matrix data. In particular, Figure 5 is a graph of a sample change in electroplated film thickness per change in current-time as a function of radial position on the microelectronic workpiece 25 for each of the individually controlled anodes A1 –
20 A4 shown in Figure 3. A first baseline workpiece is electroplated for a predetermined period of time using a predetermined set of current values to individually controlled anodes A1 – A4. The thickness of the resulting electroplated film is then measured as a function of the radial position on the workpiece. These data points are then used as baseline measurements that are compared to the data
25 acquired as the current to each of the anodes A1 – A4 is perturbed. Line 90 is a plot of the data points associated with a perturbation in the current provided by power supply 60 to anode A1 with the current to the remaining anodes A2 – A4 held at their constant predetermined values. Line 92 is a plot of the data points associated with a perturbation in the current provided by power supply 60 to
30 anode A2 with the current to the remaining anodes A1 and A3 – A4 held at their constant predetermined values. Line 94 is a plot of the data points associated with a

perturbation in the current provided by power supply 60 to anode A3 with the current to the remaining anodes A1 – A2 and A4 held at their constant predetermined values. Lastly, line 96 is a plot of the data points associated with a perturbation in the current provided by power supply 60 to anode A4 with the current to the remaining anodes A1 - A3 held at their constant predetermined values.

Figure 5 shows the growth of an electroplated film versus the radial position across the surface of a microelectronic workpiece for each of the anodes A1-A4. In this illustration, curve 90 corresponds to anode A1 and the remaining curves correspond to anodes A2-A4 proceeding from the interior most anode to the outermost anode. As can be seen from this graph, anode A1, being effectively at the largest distance from the surface of the workpiece, has an effect over a substantial radial portion of the workpiece. In contrast, the remaining anodes have substantially more localized effects at the radial positions corresponding to the peaks of the graph of Figure 5. Anodes A1-A4 may be consumable, but they are generally inert and formed from platinized titanium or some other inert conductive material.

In order to predict change in the thickness as a function of a change in current, a Jacobian sensitivity matrix is generated numerically using a computational model of the plating chamber. The modeled data includes a baseline film thickness profile and as many perturbation curves as anodes, where each perturbation curve involves adding roughly 0.05 amps to one specific anode. The Jacobian is a matrix of partial derivatives, representing the change in thickness in microns over the change in current in amp minutes. Specifically, the Jacobian is an $m \times n$ matrix where m , the number of rows, is equal to the number of data points in the modeled data and n , the number of columns, is equal to the number of anodes on the reactor. Typically, the value of m is relatively large (>100) due to the computational mesh chosen for the model of the chamber. The components of the matrix are calculated by taking the quotient of the difference in thickness due to the perturbed anode and the current change in amp-minutes, which is the product of the current change in amps and the run time in minutes.

For simplicity, the number of rows is reduced to the number of radial test points within a standard contour map (4 for 200mm and 6 for 300mm) plus one,

where the extra point is added to better the 3 sigma uniformity for all the points (i.e., to better the diameter scan). A trial and error method is used for the precise location of this point, which is defined to be between the two outermost radial points in the standard map.

- 5 A specific map may be designed for the metrology station, which will measure the appropriate points on the wafer corresponding with the radial positions necessary for the optimizer operation.

The data for the Jacobian parameters shown in Figure 5 may be computed using the following equations:

$$10 \quad J_{ij} = \frac{\partial t_i}{\partial AM_j} \cong \frac{t_i(AM + \epsilon_j) - t_i(AM)}{|\epsilon_j|} \quad \text{Equation (A1)}$$

$$t(AM) = \begin{bmatrix} t_1(AM) & t_2(AM) & \dots & t_m(AM) \end{bmatrix}^T \quad \text{Equation (A2)}$$

$$AM = [AM_1 \quad AM_2 \quad \times \times \times \quad AM_n] \quad \text{Equation (A3)}$$

$$\epsilon_1 = \begin{bmatrix} \Delta AM_1 \\ 0 \\ \cdot \\ \cdot \\ 0 \end{bmatrix} \quad \epsilon_2 = \begin{bmatrix} 0 \\ \Delta AM_2 \\ 0 \\ \cdot \\ 0 \end{bmatrix} \quad \dots \quad \epsilon_n = \begin{bmatrix} 0 \\ \cdot \\ \cdot \\ 0 \\ \Delta AM_n \end{bmatrix} \quad \text{Equation (A4)}$$

where:

- 15 t represents thickness [microns];
 AM represents current [amp-minutes];
 ϵ represents perturbation [amp-minutes];
 i is an integer corresponding to a radial position on the workpiece;
 j is an integer representing a particular anode;

m is an integer corresponding to the total number of radial positions on the workpiece; and

n is an integer representing the total number of individually-controllable anodes.

5 The Jacobian sensitivity matrix, set forth below as Equation (A5), is an index of the Jacobian values computed using Equations (A1)-(A4). The Jacobian matrix may be generated either using a simulation of the operation of the deposition chamber based upon a numerical model of the deposition chamber, or using experimental data derived from the plating of one or more test wafers. Construction
10 of such a numerical model, as well as its use to simulate operation of the modeled deposition chamber, is discussed in detail in G. Ritter, P. McHugh, G. Wilson and T. Ritzdorf, "Two- and three- dimensional numerical modeling of copper electroplating for advanced ULSI metallization," Solid State Electronics, volume 44, issue 5, pp. 797-807 (May 2000), available from [http://www.elsevier.nl/gej-](http://www.elsevier.nl/gej-ng/10/30/25/29/28/27/article.pdf)
15 [ng/10/30/25/29/28/27/article.pdf](http://www.elsevier.nl/gej-ng/10/30/25/29/28/27/article.pdf), also available from <http://journals.ohiolink.edu/pdflinks/01040215463800982.pdf>.

$$J = \begin{bmatrix} 0.192982 & 0.071570 & 0.030913 & 0.017811 \\ 0.148448 & 0.084824 & 0.039650 & 0.022264 \\ 0.066126 & 0.087475 & 0.076612 & 0.047073 \\ 0.037112 & 0.057654 & 0.090725 & 0.092239 \\ 0.029689 & 0.045725 & 0.073924 & 0.138040 \end{bmatrix} \quad \text{Equation (A5)}$$

The values in the Jacobian matrix are also presented as highlighted data points in the graph of Figure 5. These values correspond to the radial positions
20 on the surface of a semiconductor wafer that are typically chosen for measurement. Once the values for the Jacobian sensitivity matrix have been derived, they may be stored in control system 65 for further use.

Table 1 below sets forth exemplary data corresponding to a test run in which a 200mm wafer is plated with copper in a multiple anode system using a
25 nominally 2000 Å thick initial copper seed-layer. Identical currents of 1.12 Amps (for 3 minutes) were provided to all four anodes A1 – A4. The resulting thickness

at five radial locations was then measured and is recorded in the second column of Table 1. The 3 sigma uniformity of the wafer is 9.4% using a 49 point contour map. Target thickness were then provided and are set forth in column 3 of Table 1. In this example, because a flat coating is desired, the target thickness is the same at each radial position. The thickness errors (processed errors) between the plated film and the target thickness were then calculated and are provided in the last column of Table 1. These calculated thickness errors are used by the optimizer as a source of feedback control.

TABLE 1. DATA FROM WAFER PLATED WITH 1.12 AMPS TO EACH ANODE.

| Radial Location (m) | Measured Thickness (microns) | Target Thickness (microns) | Error (microns) |
|---------------------|------------------------------|----------------------------|-----------------|
| 0 | 1.1081 | 1.0291 | -0.0790 |
| 0.032 | 1.0778 | 1.0291 | -0.0487 |
| 0.063 | 1.0226 | 1.0291 | 0.0065 |
| 0.081 | 1.0169 | 1.0291 | 0.0122 |
| 0.098 | 0.09987 | 1.0291 | 0.0304 |

The Jacobian sensitivity matrix may then be used along with the thickness error values to provide a revised set of anode current values that should yield better film uniformity. The equations summarizing this approach are set forth below:

$$\Delta AM = J^{-1} \Delta t \quad \text{(for a square system in which the number of measured radial positions corresponds to the number of individually controlled anodes in the system); and}$$

$$\Delta AM = (J^T J)^{-1} J^T \Delta t \quad \text{(for a non-square system in which the number of measured radial positions is different}$$

than the number of individually controlled anodes in the system).

Table 2 shows the foregoing equations as applied to the given data set and the corresponding current changes that have been derived from the equations to meet the target thickness at each radial location (best least square fit). Such application of the equations, and construction of the Jacobian matrix is in some embodiments performed using a spreadsheet application program, such as Microsoft Excel®, in connection with specialized macro programs. In other embodiments, different approaches are used in constructing the Jacobian matrix and applying the above equations.

The wafer uniformity obtained with the currents in the last column of Table 2 was 1.7% (compared to 9.4% for the test run wafer). This procedure can be repeated again to try to further improve the uniformity. In this example, the differences between the seed layers were ignored.

TABLE 2. CURRENT ADJUSTMENT

| Anode # | Anode Currents for Run #1 (Amps) | Change to Anode Currents (Amps) | Anode Currents for Run #2 (Amps) |
|---------|----------------------------------|---------------------------------|----------------------------------|
| 1 | 1.12 | -0.21 | 0.91 |
| 2 | 1.12 | 0.20 | 1.32 |
| 3 | 1.12 | -0.09 | 1.03 |
| 4 | 1.12 | 0.10 | 1.22 |

Once the corrected values for the anode currents have been calculated, control system 65 of Figure 3 directs power supply 60 to provide the corrected current to the respective anode A1 – A4 during subsequent processes to meet the target film thickness and uniformity.

In some instances, it may be desirable to iteratively apply the foregoing equations to arrive at a set of current change values (the values shown in

column 3 of Table 2) that add up to zero. For example, doing so enables the total plating charge—and therefore the total mass of plated material—to be held constant without having to vary the recipe time.

The Jacobian sensitivity matrix in the foregoing example quantifies the system response to anode current changes about a baseline condition. Ideally, a different matrix may be employed if the processing conditions vary significantly from the baseline. The number of system parameters that may influence the sensitivity values of the sensitivity matrix is quite large. Such system parameters include the seed layer thickness, the electrolyte conductivity, the metal being plated, the film thickness, the plating rate, the contact ring geometry, the wafer position relative to the chamber, and the anode shape/current distribution. Anode shape/current distribution is included to accommodate chamber designs where changes in the shape of consumable anodes over time affect plating characteristics of the chamber. Changes to all of these items can change the current density across the wafer for a given set of anode currents and, as a result, can change the response of the system to changes in the anode currents. It is expected, however, that small changes to many of these parameters will not require the calculation of a new sensitivity matrix. Nevertheless, a plurality of sensitivity tables/matrices may be derived for different processing conditions and stored in control system 65. Which of the sensitivity tables/matrices is to be used by the control system 65 can be entered manually by a user, or can be set automatically depending on measurements taken by certain sensors or the like (*i.e.*, temperature sensors, chemical analysis units, etc.) that indicate the existence of one or more particular processing conditions.

The optimizer may also be used to compensate for differences and non-uniformities of the initial seed layer of the microelectronic workpiece. Generally stated, a blanket seed layer can affect the uniformity of a plated film in two ways:

1. If the seed layer non-uniformity changes, this non-uniformity is added to the final film. For example, if the seed layer is 100 Å thinner at the outer

edge than expected, the final film thickness may also be 100 Å thinner at the outer edge.

2. If the average seed-layer thickness changes significantly, the resistance of the seed-layer will change resulting in a modified current density distribution across the wafer and altered film uniformity. For example, if the seed layer decreases from 2000 Å to 1000 Å, the final film will not only be thinner (because the initial film is thinner) but it will also be relatively thicker at the outer edge due to the higher resistivity of the 1000 Å seed-layer compared to the 2000 Å seed-layer (assuming an edge contact).

The optimizer can be used to compensate for such seed-layer deviations, thereby utilizing seed-layer thicknesses as a source of feed-forward control. In the first case above, the changes in seed-layer uniformity may be handled in the same manner that errors between target thickness and measured thickness are handled. A pre-measurement of the wafer quantifies changes in the seed-layer thickness at the various radial measurement locations and these changes (errors) are figured into the current adjustment calculations. Using this approach, excellent uniformity results can be obtained on the new seed layer, even on the first attempt at electroplating.

In the second case noted above, an update of or selection of another stored sensitivity/Jacobian matrix can be used to account for a significantly different resistance of the seed-layer. A simple method to adjust for the new seed layer thickness is to plate a film onto the new seed layer using the same currents used in plating a film on the previous seed layer. The thickness errors measured from this wafer can be used with a sensitivity matrix appropriate for the new seed-layer to adjust the currents.

The optimizer may also be used to compensate for reactor-to-reactor variations in a multiple reactor system, such as the LT-210C™ available from Semitool, Inc., of Kalispell, Montana. In such a system, there is a possibility that the anode currents required to plate a specified film might be different on one reactor when compared to another. Some possible sources for such differences include variations in the wafer position due to tolerances in the lift-rotate

mechanism, variations in the current provided to each anode due to power supply manufacturing tolerances, variations in the chamber geometry due to manufacturing tolerances, variations in the plating solution, etc.

In a single anode system, the reactor-to-reactor variation is typically
5 reduced either by reducing hardware manufacturing tolerances or by making slight hardware modifications to each reactor to compensate for reactor variations. In a multiple anode reactor constructed in accordance with the teachings of the present invention, reactor-to-reactor variations can be reduced/eliminated by running slightly different current sets in each reactor. As long as the reactor variations do
10 not fundamentally change the system response (*i.e.*, the sensitivity matrix), the self-tuning scheme disclosed herein is expected to find anode currents that meet film thickness targets. Reactor-to-reactor variations can be quantified by comparing differences in the final anode currents for each chamber. These differences can be saved in one or more offset tables in the control system 65 so that the same recipe
15 may be utilized in each reactor. In addition, these offset tables may be used to increase the efficiency of entering new processing recipes into the control system 65. Furthermore, these findings can be used to trouble-shoot reactor set up. For example, if the values in the offset table are over a particular threshold, the deviation may indicate a hardware deficiency that needs to be corrected.

20 To further illuminate the operation of the optimizer, a second test run is described.

The optimization process begins with a baseline current set or standard recipe currents. A wafer must be pre-read for seed layer thickness data, and then plated using the indicated currents. After plating, the wafer is re-measured
25 for the final thickness values. The following wafer must also be pre-read for seed layer thickness data. Various points at the standard five radial positions (0mm, 31.83mm, 63.67mm, 80mm, 95.5mm) are typically measured and averaged for each wafer reading.

The thickness data from the previous wafer, and the new wafer seed
30 layer, in addition to the anode currents, are entered into the input page of the optimizer. The user may also elect to input a thickness specification, or chose to

modify the plating thickness by adjusting the total current in amp-minutes. After all the data is correctly inputted, the user activates the optimizer. In response, the optimizer predicts thickness changes and calculates new currents.

The new wafer is then plated with the adjusted anode currents and
5 then measured. A second modification may be required if the thickness profile is not satisfactory.

Using a single iteration, the optimizer can predict the currents for the computational model to produce a uniform wafer, whereas two or three iterations are necessary for the lab to achieve an acceptable profile. Good symmetry is one
10 factor for the optimization procedure because the optimizer is assuming the wafer has a constant thickness at a given radial position. Usually, the more symmetric the previous wafer is, the fewer number of iterations are necessary to accomplish the acceptable uniformity. Ensuring good contact on the wafer during plating improves the possibility of achieving adequate symmetry.

15 When a further iteration is required, the optimization is continued. As before, the post-plated wafer is measured for thickness values, and another wafer is pre-read for a new seed set of seed layer thickness values. Then, the following quantities are entered on the input page:

1. plated wafer thickness,
- 20 2. anode currents,
3. plated wafer seed layer thickness, and
4. new wafer seed layer thickness

The recipe time and thickness profile specification should be consistent with the previous iteration. The program is now ready to be run again to
25 provide a new set of anode currents for the next plating attempt.

After plating with the new currents, the processed wafer is measured and if the uniformity is still not acceptable, the procedure may be continued with another iteration. The standard value determining the uniformity of a wafer is the 3- σ , which is the standard deviation of the measured points relative to the mean and
30 multiplied by three. Usually a forty-nine point map is used with measurements at

the radial positions of approximately 0mm, 32mm, 64mm, and 95mm to test for uniformity.

The above procedure will be demonstrated using a multi-iteration example. Wafer #3934 is the first plated wafer using a set of standard anode currents: 0.557/ 0.818/ 1.039/ 0.786 (anode1/ anode2/ anode3/ anode4 in amps) with a recipe time of 2.33 minutes (140 seconds). Before plating, the wafer is pre-read for seed layer data. These thickness values, in microns, from the center to the outer edge, are shown in Table 3:

TABLE 3. SEED LAYER THICKNESS VALUES FOR WAFER
#3934

| Radius (mm) | Thickness (μm) |
|-------------|-----------------------------|
| 0.00 | 0.130207 |
| 31.83 | 0.13108 |
| 63.67 | 0.131882 |
| 80.00 | 0.129958 |
| 95.50 | 0.127886 |

The wafer is then sent to the plating chamber, and then re-measured after being processed. The resulting thickness values (in microns) for the post-plated wafer #3934 are shown in Table 4:

TABLE 4. THICKNESS VALUES FOR POST-PLATED WAFER
#3934

| Radius (mm) | Thickness (μm) |
|-------------|-----------------------------|
| 0.00 | 0.615938 |
| 31.83 | 0.617442 |
| 63.67 | 0.626134 |
| 80.00 | 0.626202 |
| 95.50 | 0.628257 |

The 3- σ for the plated wafer is calculated to be 2.67% over a range of
 5 230.4 Angstroms. Since the currents are already producing a wafer below 3%, any
 adjustments are going to be minor. The subsequent wafer has to be pre-read for
 seed layer values in order to compensate for any seed layer differences. Wafer
 #4004 is measured and the thickness values in microns are shown in Table 5:

TABLE 5. SEED LAYER THICKNESS VALUES FOR WAFER
#4004

| Radius (mm) | Thickness (μm) |
|-------------|-----------------------------|
| 0.00 | 0.130308 |
| 31.83 | 0.131178 |
| 63.67 | 0.132068 |
| 80.00 | 0.13079 |
| 95.50 | 0.130314 |

10

For this optimization run, there is no thickness profile specification, or overall thickness adjustment. All of the preceding data is inputted into the optimizer, and the optimizer is activated to generate a new set of currents. These currents will be used to plate the next wafer. Figure 6 is a spreadsheet diagram showing the new current outputs calculated from the inputs for the first optimization run. It can be seen that the input values 601 have generated output 602, including a new current set. The optimizer has also predicted the absolute end changed thicknesses 603 that this new current set will produce.

The new anode currents are sent to the process recipe and run in the plating chamber. The run time and total currents (amp-minutes) remain constant, and the current density on the wafer is unchanged. The new seed layer data from this run for wafer #4004 will become the old seed layer data for the next iteration.

The thickness (microns) resulting from the adjusted currents plated on wafer #4004 are shown in Table 6:

TABLE 6. THICKNESS VALUES FOR POST-PLATED WAFER #4004

| Radius (mm) | Thickness (μm) |
|-------------|-----------------------------|
| 0.00 | 0.624351 |
| 31.83 | 0.621553 |
| 63.67 | 0.622704 |
| 80.00 | 0.62076 |
| 95.50 | 0.618746 |

The post-plated wafer has a 3- σ of 2.117% over a range of 248.6 Angstroms. To do another iteration, a new seed layer measurement is required, unless notified that the batch of wafers has equivalent seed layers. Wafer # 4220 is pre-measured and the thickness values in microns are shown in Table 7:

TABLE 7. SEED LAYER THICKNESS VALUES FOR WAFER
#4220

| Radius (mm) | Thickness (μm) |
|-------------|-----------------------------|
| 0.00 | 0.127869 |
| 31.83 | 0.129744 |
| 63.67 | 0.133403 |
| 80.00 | 0.134055 |
| 95.50 | 0.1335560 |

Again, all of the new data is inputted into the optimizer, along with
 5 the currents used to plate the new wafer and the thickness of the plated wafer's
 seed. The optimizer automatically transfers the new currents into the old currents
 among the inputs. The optimizer is then activated to generate a new set of currents.
 Figure 7 is a spreadsheet diagram showing the new current outputs calculated from
 the inputs for the second optimization run. It can be seen that, from input value
 10 701, the optimizer has produced output 702 including a new current set. It can
 further be seen that that the facility has predicted absolute and changed thicknesses
 703 that will be produced using the new currents.

The corrected anode currents are again sent to the recipe and applied
 to the plating process. The 2nd adjustments on the anode currents produce the
 15 thickness values in microns shown in Table 8:

TABLE 8. THICKNESS VALUES FOR POST-PLATED WAFER
#4220

| Radius (mm) | Thickness (μm) |
|-------------|-----------------------------|
| 0.00 | 0.624165 |
| 31.83 | 0.622783 |
| 63.67 | 0.626911 |
| 80.00 | 0.627005 |
| 95.50 | 0.623823 |

The 3- σ for wafer #4220 is 1.97% over a range of 213.6 Angstroms.

- 5 The procedure may continue to better the uniformity, but the for the purpose of this explanation, a 3- σ below 2% is acceptable.

Numerous modifications may be made to the described optimizer without departing from the basic teachings thereof. For example, although the present invention is described in the context of electrochemical processing of the microelectronic workpiece, the teachings herein can also be extended to other types of microelectronic workpiece processing, including various kinds of material deposition processes. For example, the optimizer may be used to control electrophoretic deposition of material, chemical or physical vapor deposition, etc. In effect, the teachings herein can be extended to other microelectronic workpiece processing systems that have individually controlled processing elements that are responsive to control parameters and that have interdependent effects on a physical characteristic of the microelectronic workpiece that is processed using the elements. Such systems may employ sensitivity tables/matrices as set forth herein and use them in calculations with one or more input parameters sets to arrive at control parameter values that accurately result in the targeted physical characteristic of the microelectronic workpiece. Although the present invention has been described in

substantial detail with reference to one or more specific embodiments, those of skill in the art will recognize that changes may be made thereto without departing from the scope and spirit of the invention as set forth herein.

CLAIMS

We claim:

1. A method in a computing system for providing closed-loop control of a process for applying a coating material to a series of workpieces to produce a coating layer of the coating material, comprising:

(a) receiving a coating profile specifying one or more attributes of the coating layer to be produced on the workpieces;

(b) designating a first set of coating parameters for use in coating a first workpiece;

(c) identifying a first set of discrepancies between attributes of the coating layer produced on the first workpiece using the first set of coating parameters and the attributes specified by the coating profile;

(d) determining a first set of modifications to the first set of coating parameters expected to reduce the identified first set of discrepancies;

(e) modifying the first set of coating parameters in accordance with the determined first set of modifications to produce a second set of coating parameters;

(f) designating the second set of coating parameters for use in coating a second workpiece; and

(g) repeating (c) – (f) for subsequent workpieces in the series until the identified set of discrepancies falls within a selected tolerance.

2. The method of claim 1, further comprising, after (g), designating the most recently-produced set of coating parameters for use in coating subsequent workpieces.

3. The method of claim 1 wherein each workpiece is a silicon wafer.

4. The method of claim 1 wherein the coating material is a conductor.
5. The method of claim 1 wherein the coating material is copper.
6. The method of claim 1 wherein the process is performed in an electrolysis chamber having a plurality of anodes, and wherein at least a portion of the coating parameters are currents to transmit through identified anodes among the plurality of anodes.
7. The method of claim 1 wherein at least a portion of the attributes of the coating layer to be produced on the workpieces specified by the coating profile are target thicknesses of the coating layer in selected regions on the workpiece.
8. The method of claim 7 wherein the discrepancies identified in (c) correspond to differences between thicknesses measured in the selected regions on the coated workpiece and the target thicknesses specified by the coating profile for the selected regions on the workpiece.
9. The method of claim 7, further comprising:
 - generating a set of predicted coating thicknesses in the selected regions on the first workpiece based upon the first set of coating parameters;
 - receiving an indication of thicknesses measured in the selected regions on the coated first workpiece;
 - computing a difference between the predicted coating thicknesses and the indicated measured thicknesses; and
 - subtracting the computed difference from the determined first set of modifications before using the first set of modifications to modify the first set of coating parameters.

10. The method of claim 7 wherein each of the workpieces bears a seed layer,

the method further comprising:

for each the first and second workpieces, receiving an indication of seed layer thicknesses measured in the selected regions on the workpiece before the workpiece is coated; and

before designating the second set of coating parameters for use in coating a second workpiece, further adjusting the second set of coating parameters in to adjust for differences between the measured thicknesses of the first and second workpieces.

11. The method of claim 1 wherein the coating process is electrolytic deposition.

12. The method of claim 1 wherein the coating process is electrophoretic deposition.

13. The method of claim 1 wherein the coating process is chemical vapor deposition.

14. The method of claim 1 wherein the coating process is physical vapor deposition.

15. The method of claim 1 wherein the coating process is electron beam atomization.

16. The method of claim 1 wherein the determining utilizes a sensitivity matrix mapping changes in attributes to changes in coating parameters expected to produce those attribute changes.

17. A computer-readable medium whose contents cause a computing system to automatically configuring parameters controlling operation of a deposition chamber to deposit material on each of a sequence of wafers to improve conformity with a specified deposition pattern by:

for each of the sequence of wafers, measuring thicknesses of the wafer before material is deposited on the wafer;

for each of the sequence of wafers, measuring thicknesses of the wafer after material is deposited on the wafer;

for each of the sequence of wafers, configuring the parameters for depositing material on the wafer based on the specified deposition pattern, the measured thickness of the current wafer before material is deposited on the current wafer, the measured thickness of the previous wafer in the sequence before material is deposited on the previous wafer, the parameters used for depositing material on the previous wafer, and the measured thicknesses of the previous wafer after material is deposited on the previous wafer.

18. The computer-readable medium of claim 17 wherein the specified deposition pattern is a flat deposition pattern.

19. The computer-readable medium of claim 17 wherein the specified deposition pattern is a concave deposition pattern.

20. The computer-readable medium of claim 17 wherein the specified deposition pattern is a convex deposition pattern.

21. The computer-readable medium of claim 17 wherein the specified deposition pattern is an arbitrary radial profile.

22. The computer-readable medium of claim 17 wherein the specified deposition pattern is an arbitrary profile.

23. The computer-readable medium of claim 17 wherein the contents of the computer-readable medium further cause the computing system to, for a second deposition chamber:

retrieving a set of offset values characterizing differences between the deposition chamber and the second deposition chamber;

modifying the parameters most recently configured for the deposition chamber in accordance with the retrieved set of offset values to obtain a parameters for the second deposition chamber; and

configuring the second deposition chamber with the obtained parameters for the second deposition chamber.

24. A method in a computing system for constructing a sensitivity matrix usable to adjust currents for a plurality of electrodes in an electroplating chamber to improve plating uniformity, comprising:

for each of a plurality of radii on the plating workpiece, obtaining a plating thickness on the workpiece at that radius when a set of baseline currents are delivered through the electrodes;

for each of the electrodes, for each of a plurality of plating workpiece radii, obtaining a plating thickness on the workpiece at that radius when the baseline currents are perturbed for that electrode; and

constructing a matrix, a first dimension of the matrix corresponding to the plurality of electrodes, a second dimension of the matrix corresponding to the plurality of radii, each entry for a particular electrode and a particular radius being determined by subtracting the thickness at that radius when the baseline currents are delivered through the electrodes from the thickness at that radius when the baseline currents are perturbed for that electrode, then dividing by the magnitude by which that the current for that electrode was perturbed from its baseline current.

25. The method of claim 24 wherein the current for each electrode is perturbed by approximately +.05 amps.

26. The method of claim 24 wherein the obtained thicknesses are obtained by executing a simulation of the operation of the electroplating chamber based upon a numerical model of the electroplating chamber.

27. The method of claim 24 wherein the obtained thicknesses are obtained by measuring workpieces plated in the electroplating chamber.

28. The method of claim 24, further comprising repeating the method to produce additional sensitivity matrices for a variety of different conditions.

29. The method of claim 24, further comprising using the constructed sensitivity matrix to modify for use in plating a second workpiece currents used to plate a first workpiece, such that the modified currents cause the second workpiece to be plated more uniformly than the first workpiece.

30. One or more computer memories collectively containing a sensitivity matrix data structure relating to a deposition chamber having a plurality of deposition initiators for depositing material on a workpiece having selected radii, a control parameter being associated with each of the deposition initiators, the data structure comprising a plurality of quantitative entries, each of the entries predicting, for a given change in the control parameter associated with a given deposition initiator, the expected change in deposited material thickness at a given radius,

such that the contents of the data structure may be used to determine revised deposition initiator parameters for better conforming deposited material thicknesses to a target profile for deposited material thicknesses.

31. The computer memories of claim 30 wherein the deposition initiators are electrodes, and wherein the control parameters associated with the deposition initiators are currents delivered through the electrodes.

32. The computer memories of claim 30 wherein the sensitivity matrix data structure is a Jacobian sensitivity matrix.

33. The computer memories of claim 30 wherein the computer memories contain multiple sensitivity matrix data structures, each adapted to a different set of conditions.

34. One or more computer memories collectively containing a data structure for controlling a material deposition process, comprising a set of parameter values used in the material deposition process, the parameters having been generated by adjusting an earlier-used set of parameters to resolve differences between measurements of a workpiece deposited using the earlier-used set of parameters and a target deposition profile specified for the deposition process,

such that the contents of the data structure may be used to deposit an additional workpiece in greater conformance with the specified deposition profile.

35. The computer memories of claim 34 wherein the deposition process utilizes a plurality of electrodes, and wherein each parameter value of the set is an amount of current to be delivered through one of the plurality of electrodes.

36. One or more computer memories collectively containing a deposition chamber offset data structure, comprising a set of values indicating how to adjust a first parameter set used to obtain acceptable deposition results in a first deposition chamber to produce a second parameter set usable to obtain acceptable deposition results in a second deposition chamber.

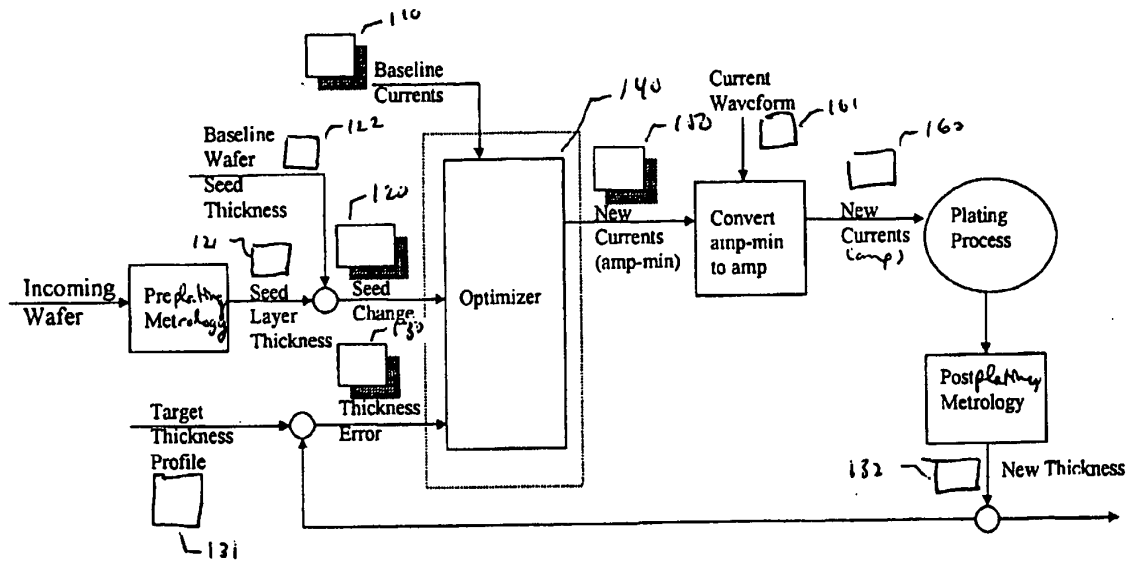


Fig 1

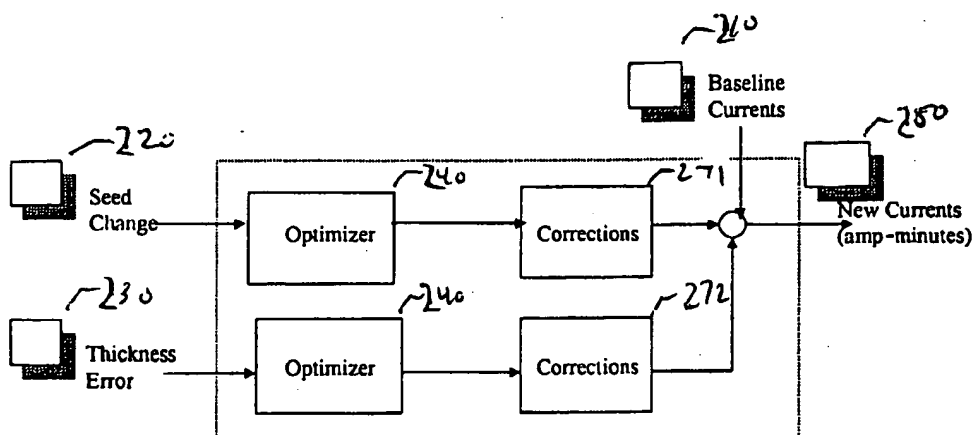


FIG 2

FIGURE 3

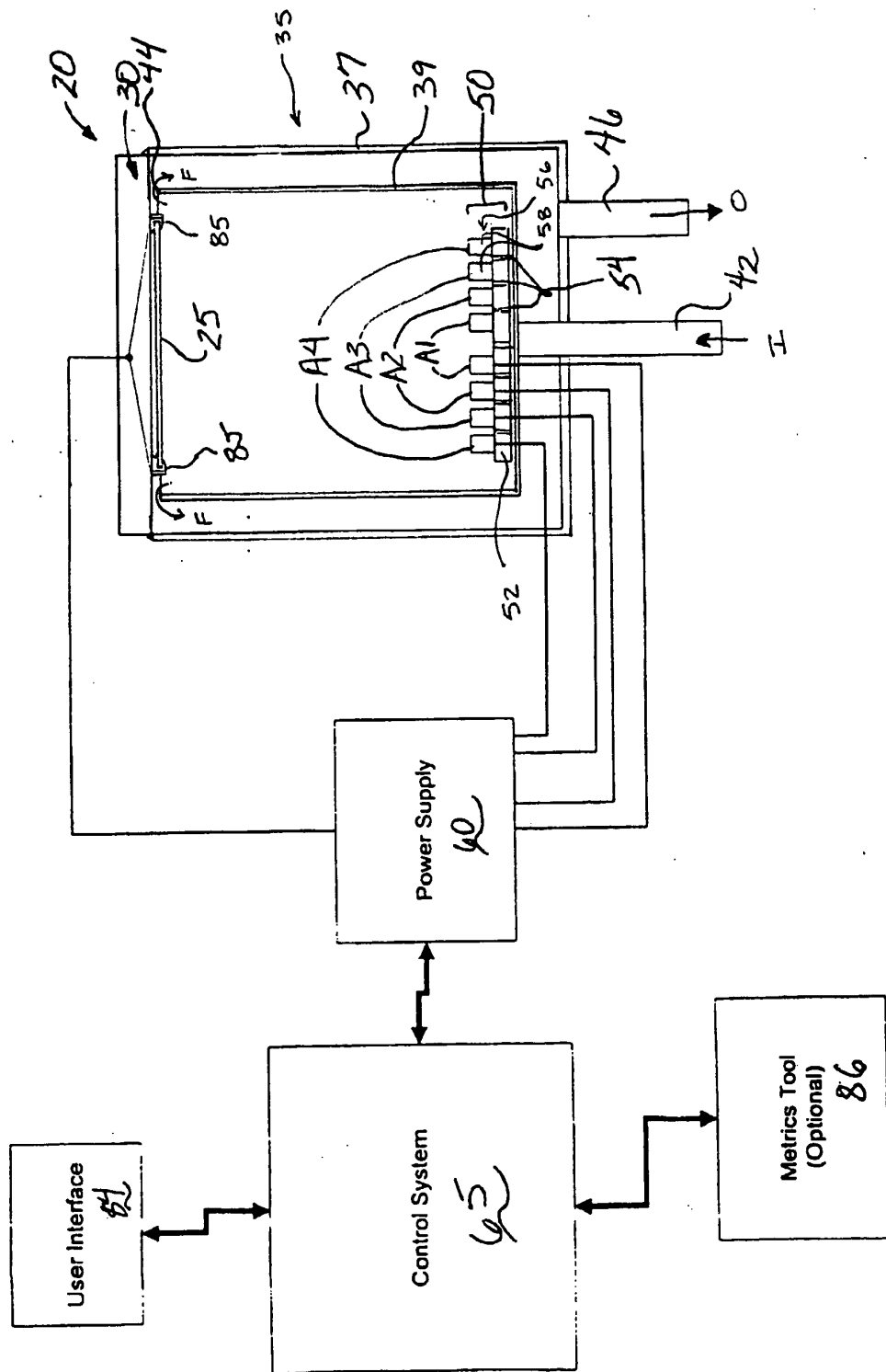
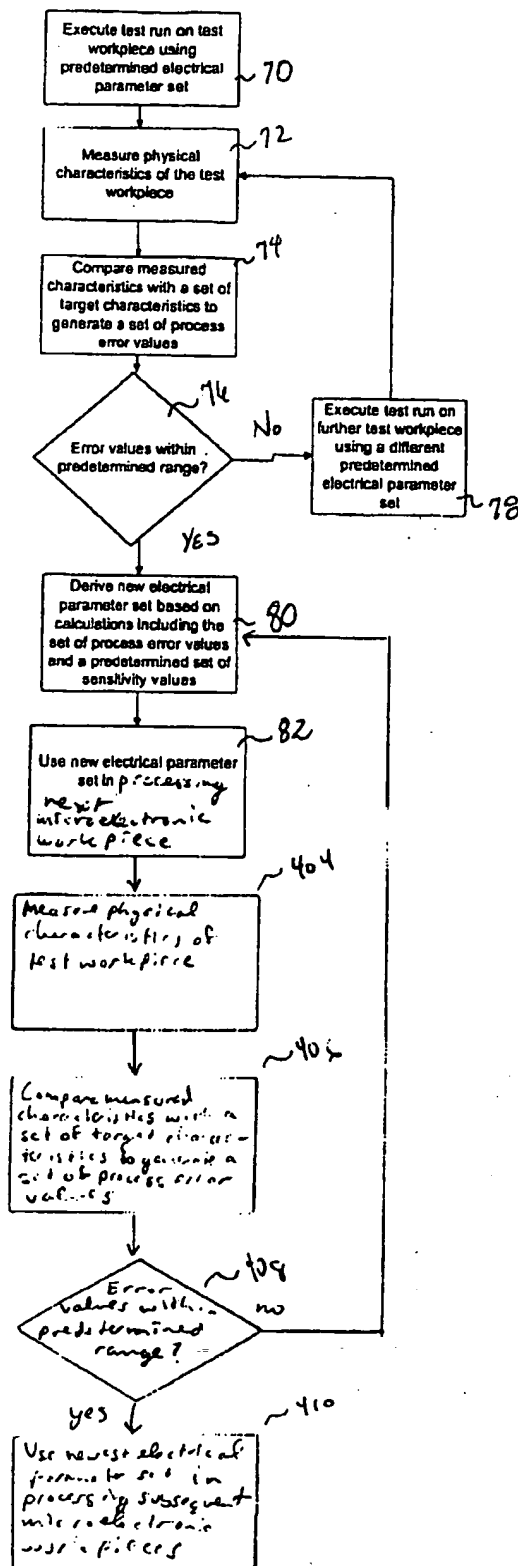


FIGURE 4



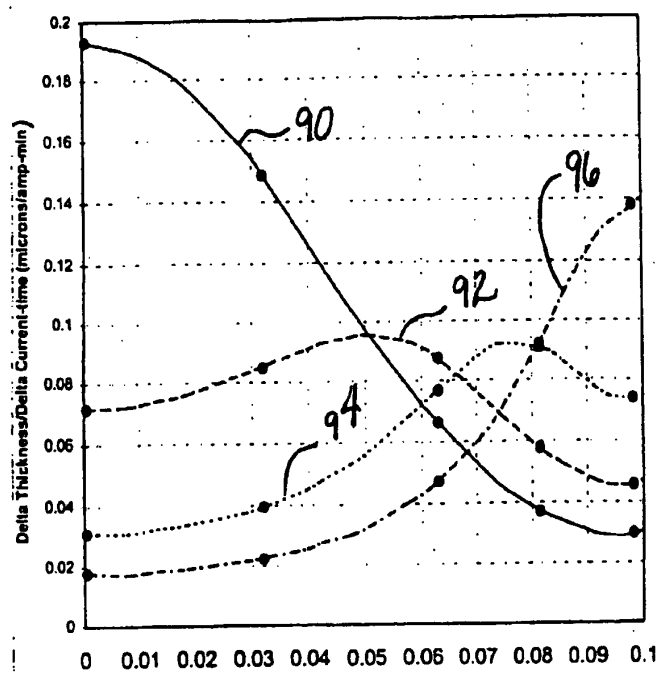


FIGURE 5

— AM-E1 (current=0.96/1.34/1.41/0.84)
 --- AM-E2 (current=0.81/1.39/1.41/0.84)
 AM-E3 (current=0.81/1.32/1.46/0.84)
 -.-.- AM-E4 (current=0.91/1.32/1.41/0.89)
 • Location of Jacobian Terms in Eq. A5

Radial Position (m)

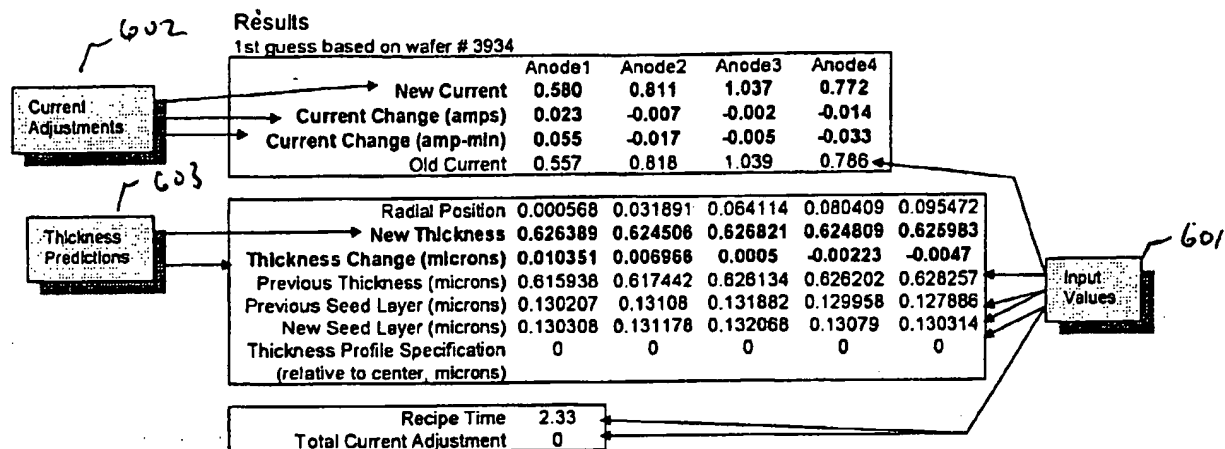


FIG 6

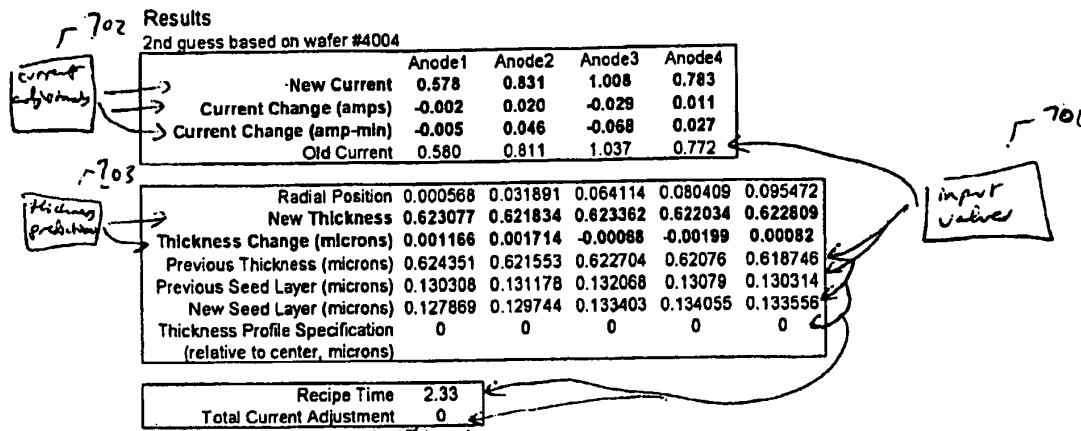


FIG 7

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
29 November 2001 (29.11.2001)

PCT

(10) International Publication Number
WO 2001/090434 A3

(51) International Patent Classification⁷: **C23C 16/52**,
14/54, C25D 21/12, 13/22

(21) International Application Number:
PCT/US2001/014509

(22) International Filing Date: 4 May 2001 (04.05.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/206,663 24 May 2000 (24.05.2000) US

(71) Applicant (for all designated States except US): **SEMI-TOOL, INC.** [US/US]; 655 West Reserve Drive, Kalispell, MT 59901 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **WILSON, Gregory,**

J. [US/US]; 427 6th Avenue East, Kalispell, MT 59901 (US). **MCHUGH, Paul, R.** [US/US]; 1912 Darlington Drive, Kalispell, MT 59901 (US). **WEAVER, Robert, A.** [US/US]; 834 Highland Drive, Whitefish, MT 59937 (US). **RITZDORF, Thomas, L.** [US/US]; 3130 Parkwood Lane, Bigfork, MT 59911 (US).

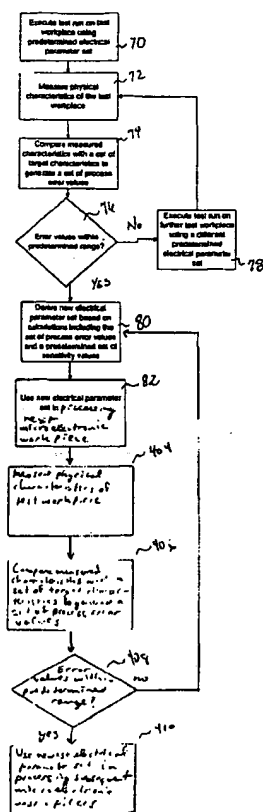
(74) Agents: **LAWRENZ, Steven, D.** et al.; Perkins Coie LLP, P.O. Box 1247, Seattle, WA 98111-1247 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European

[Continued on next page]

(54) Title: TUNING ELECTRODES USED IN A REACTOR FOR ELECTROCHEMICALLY PROCESSING A MICROELECTRONIC WORKPIECE



(57) Abstract: A facility for selecting and refining electrical parameters for processing a microelectronic workpiece in a processing chamber is described. The facility initially configures the electrical parameters in accordance with either a numerical of the processing chamber or experimental data derived from operating the actual processing chamber. After a workpiece is processed with the initial parameter configuration, the results are measured and a sensitivity matrix based upon the numerical model of the processing chamber is used to select new parameters that correct for any deficiencies measured in the processing of the first workpiece. These parameters are then used in processing a second workpiece, which may be similarly measured, and the results used to further refine the parameters.

WO 2001/090434 A3



patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(88) Date of publication of the international search report:
16 June 2005

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/14509

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C23C16/52 C23C14/54 C25D21/12 C25D13/22

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C25D H01L C23C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|--|
| X | WO 99/45567 A (LAM RES CORP) 10 September 1999 (1999-09-10) page 1, lines 10-24 page 2, line 33 - page 4, line 16 page 12, line 33 - page 14, line 8 ----- | 1-17, 22-24, 26,27, 29,30, 33-35 |
| P,X | US 6 110 345 A (IACOPONI JOHN) 29 August 2000 (2000-08-29) column 3, line 56 - column 4, line 46 ----- -/-- | 1-9, 11-14, 17,18, 22,34 |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

19 January 2005

Date of mailing of the international search report

28.04.2005

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Zech, N

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/14509

| C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT | | |
|--|---|--|
| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| X | WO 99/15710 A (ON LINE TECHN INC) 1 April 1999 (1999-04-01) page 2, line 5 - page 5, line 6 page 7, line 30 - page 9, line 16 ----- | 1-3,7,8, 16-18, 22,24, 28-31,34 |
| A | US 5 368 715 A (HURLEY MICHAEL P ET AL) 29 November 1994 (1994-11-29) column 1, line 50 - column 2, line 15 ----- | |

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/14509

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|---|---------------------|----------------------------|----------------------------|
| WO 9945567 | A | 10-09-1999 | US 6151532 A 21-11-2000 |
| | | | EP 1060501 A1 20-12-2000 |
| | | | JP 2003524701 T 19-08-2003 |
| | | | TW 452827 B 01-09-2001 |
| | | | WO 9945567 A1 10-09-1999 |
| | | | US 6301510 B1 09-10-2001 |
| | | | US 6577915 B1 10-06-2003 |
| | | | US 6804572 B1 12-10-2004 |
| US 6110345 | A | 29-08-2000 | NONE |
| WO 9915710 | A | 01-04-1999 | US 6161054 A 12-12-2000 |
| | | | AU 2247699 A 12-04-1999 |
| | | | WO 9915710 A1 01-04-1999 |
| US 5368715 | A | 29-11-1994 | NONE |

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 01/14509

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-35

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-35

Method in a computing system for providing closed-loop control, method in a computing system for constructing a sensitivity matrix, computer-readable medium or computer memories containing a process of measuring a coating (thickness) profile or thickness value and evaluating the discrepancy to desired profile or value. Relating said discrepancy to initially applied coating parameters and modified coating parameters which then are used to improve the coating process.

2. claim: 36

Computer memories containing a deposition chamber offset data structure for translating deposition parameters of a first deposition chamber to a second, i.e. different, deposition chamber.

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)